

Confirmation of verification of identity certificate

Notes:

Please complete the certificate and complete a separate certificate for all parties to the contract (e.g. joint applicants, trustees, settlors, Power of Attorney and third parties where you have been required to undertake identification)

*Delete as applicable. Beneficial owners must also be identified if different from the applicants.

To be completed by an FSA Regulated or EU Regulated Introducer

Name of applicant*/Trustee*/Third party*/Power of Attorney (in full)

Title _____ **Forenames** _____

Surname _____ **Date of birth** _____

Address _____

_____ **Postcode** _____

Telephone number _____

Previous address if moved in last three months

Address _____

_____ **Postcode** _____

I/We certify that:

(a) the information above was obtained by me/us in relation to the customer;

(b) the evidence I/we have obtained to verify the identity of the customer

(tick one only)

- **meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG : or**
- **exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).**

This certificate cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
- those whose identity has been verified using the 'Source of funds' as evidence.

If you have not verified above the identity of the applicant please give reasons below:

Adviser contact details:

Name _____

Address _____

_____ **Postcode** _____

Telephone number _____

Adviser code _____ **FSA ref no.** _____

Name of person completing this certificate:

Job title _____

Signature _____ **Date** _____

Note this certificate must be signed by an officer of the Introducer Firm, who is authorised to confirm the accuracy and effectiveness of the firm's customer identification verification records, to which this certificate relates.

We cannot accept photocopies of completed certificates.

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