

Request for Unit Trust to Stocks and Shares ISA transfer on 6 April

Please complete in block capitals and use black ink

Title Mr Mrs Miss Ms Other

Full name _____
 Date of birth _____
 Permanent residential address _____

 _____ Postcode _____
 Customer reference number _____ Email _____
 National Insurance number _____ Please tick here if you do not have one

If you would like to receive a copy of the Full Prospectus and managers reports and accounts, please tick here

On 6 April and on each subsequent year on 6 April funds permitting, I hereby authorise Lincoln Unit Trust Managers Limited to automatically transfer the following investment amounts from my Unit Trust Account, into my Individual Savings Account, as per my application:

Transfer details

From Trust: _____	amount (£)/units: _____	To Trust: _____
From Trust: _____	amount (£)/units: _____	To Trust: _____
From Trust: _____	amount (£)/units: _____	To Trust: _____

If you wish to take the income earned on your investment rather than have it re-invested, please complete this section

Please pay any income earned to my bank/building society account as follows:

Bank/building society _____
 Address _____

 _____ Postcode _____

We will automatically re-invest income to your ISA account if you do not complete.

Branch sort code

— —

Bank or building society account number

Building society collection account number

Agents

FSA Reference number:

Agent's instructions:

Agent details

Was advice given at point of sale? Yes No

Name _____

Company name _____

Network/group _____

Contact telephone number _____

Address _____

_____ Postcode _____

Email _____

Declaration and contents



Data Protection Using your Personal Information

The information given on this form will be used by Lincoln to set up and administer your investment application.

Telephone calls may be monitored and recorded to help staff training, customer service and for the purposes of security and fraud prevention.

By supplying your address, telephone number, fax or email address, you are giving your consent for us to contact you in any of these ways in order to process your application or administer any subsequent investment. By submitting your application, you are giving your consent for us to tell you by letter or phone about our products and services which we believe would be of interest to you.

Your cancellation rights

If you have applied for this ISA through an Independent Financial Adviser, we will send you notice of your right to cancel. Following acceptance of your investment application you will then have 14 days in which you can cancel the plan. If you do this, you will get a refund of your original investment, less any fall in value which may have occurred between the start date of your plan and the date Lincoln receives your cancellation notice.

Anti Money Laundering

Under our continuous anti-money laundering obligations we are required, in certain circumstances, to obtain independent documentary evidence of the identity and permanent address of persons seeking to invest with us. Until we receive the documentation, we may be required to delay processing your instructions and/or withhold any payments due to you from us in respect of your investment.

We may check your details with credit reference agencies, who may add details of our search to your record, and with fraud prevention agencies, who may record details of any false or inaccurate information provided by you where we suspect fraud. We may also disclose details of your conduct in relation to your application, account or policy to these agencies. We or other organisations may use and search these records to help make decisions about applications, accounts, claims and other services for you and members of your household to prevent fraud and money laundering. We cannot accept responsibility for the accuracy of information provided by these agencies nor can we accept any liability for the consequences of our undertaking these checks or declining to accept your application. Please contact us if you would like details of these agencies and how they may use your information.

Declaration

I apply to subscribe for a Stocks and Shares ISA, on the basis indicated over, for the tax year 20____/20____ and each subsequent year until further notice.

By signing this form I declare that:

- All subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Lincoln Unit Trust Managers Limited if I cease to be so resident and ordinarily resident or to perform such duties or cease to be married to, or in a civil partnership with, a person who performs such duties.
- This application form has been completed to the best of my knowledge and belief.

I authorise Lincoln Unit Trust Managers Limited:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- to make on my behalf any claims to relief from tax in respect of ISA investments, and
- to reinvest distributions automatically to purchase additional units, unless I specify otherwise.

Please sign and date your form

It is important that you read and understand the Declarations; Simplified Prospectus and the Terms and Conditions before signing. Lincoln intends to rely on the Declarations and Terms and Conditions as the basis for accepting your application and managing your account. If you do not understand any point, please ask for further information before signing.

You are entitled to ask for a copy of our Full Prospectus, standard terms and conditions, and a copy of your application form at any time. These are available on request from Lincoln Unit Trust Managers Limited.

Please note: The price of units and the income they produce may go down as well as up and are not guaranteed. Therefore, you may not get back the amount originally invested.

First applicant signature		Date
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If the Unit Trust investment is in joint names any additional holders should sign here to agree to the transfer:

Second applicant signature		Date
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Note: ISAs cannot be held in joint names.

Please return this form to:
Lincoln Unit Trust Managers
Barnett Way, Barnwood
Gloucester
GL4 3RZ

For office use only

Please return this form to: Lincoln Unit Trust Managers, Barnett Way, Barnwood, Gloucester GL4 3RZ

Please detach the Terms and Conditions and keep them in a safe place.

ISA Terms and Conditions

1. I appoint Lincoln Unit Trust Managers Limited of Barnett Way, Barnwood, Gloucester GL4 3RZ ('the Manager') to be the Manager of my Individual Savings Account ('my Account(s)') and agree that the Manager is authorised to:
 - a) hold my cash subscription, the units, interest, dividends and any other rights or proceeds in respect of those investments and any other cash, which together constitute my Account(s); and
 - b) make, on my behalf, any claims for relief from taxation and deal on my behalf with the HMRC concerning the taxation of my Account.
2. I agree that this agreement will commence at the date of the Manager's letter of acknowledgement sent to me.
3. I agree that:
 - a) Whilst I remain the beneficial owner of my Account(s), all units will be registered jointly with the Manager. The Account(s) cannot be lent to a third party and no money can be borrowed on my behalf against the security of those Account(s). I understand that, although the amount of my holding is recorded and separately identified by the Manager, my entitlement may not be identifiable by separate documents or certificates of title.
 - b) Should there be a delay in LUTM processing my ISA investment any subscription will be held in a client bank account as required by the Financial Services and Markets Act, which may be amended from time to time, in the name of the Manager pending its investment or payment to me at my direction. I understand that no interest will be paid to me on any cash held by the Manager except as required by those Regulations.
4. I understand that:
 - a) I have the right at any time to discontinue my Account(s) or to transfer to another Manager and for this purpose I agree that notices in either of these respects will be given to the Manager in writing; and the Manager may cease to act as ISA Manager of my Account at any time by sending reasonable prior written notice to the address I have given on the application form or such other address as I have notified to them in writing. I agree that if I have not confirmed to the Manager that I wish to transfer my Account(s) to a new Account Manager within the time specified in the Manager's notice, my Account(s) will be discontinued. I agree that if my Account(s) are to be transferred to a new Manager, I will provide the Manager with such information as it may require allowing the transfer to be effected. I agree that if my Account(s) are to be discontinued, the investments will be liquidated and the balance after payment of any liabilities which may arise as a result thereof (including but not limited to any tax liability) will be paid to me by the Manager.
 - b) The Manager will transfer or pay to me all or part of the investments held in the ISA, and proceeds arising from these investments, within four working days of receiving my written instructions.
 - c) The Manager will transfer the ISA, or part of the ISA and provide all necessary documentation to another ISA manager within 30 days of receiving my written instructions.
5. It is my intention that my Account will continue until it is terminated and until that time:
 - a) I may choose to contribute in each tax year an amount at least equal to the minimum contribution required by the Manager but not exceeding the maximum contribution permissible for the tax year in which the contribution is made; and
 - b) If I have contributed less than the maximum permissible in any tax year, I may make additional contributions, during that tax year, up to the permitted maximum.
6. I agree that:
 - a) the income produced by my Account Investment will be reinvested and will be used to purchase additional units in the Trust which has produced the income, unless I have elected to take an income distribution in which case the income will be remitted by Direct Credit to my bank or building society account on the date specified by the Manager.
 - b) any written notice to be given by me to the Manager will be addressed to the Manager at their address shown in Clause 1 (or such other address as they have notified to me for this purpose) and that the Manager need take no action until they have received my written notice. The terms of the written notice will not affect any transaction that may have commenced prior to receipt of the written notice by the Manager.
7. I understand that by giving the Manager written notice I may:
 - a) alter the Trust(s) into which future contributions will be invested; and
 - b) instruct the Manager to switch my existing investment to any other unit trust(s) managed by the Manager which fulfil(s) the requirements from time to time applicable to Individual Savings Account investments in unit trusts.
 - c) have up to 12 free switches per year (switches to be completed no later than next business day following receipt of my switch request).
8. I understand that the Manager may delegate any of its duties as ISA Manager to any other member of the Lincoln group. I also agree that the Manager may transfer its responsibilities as ISA Manager to any such group company which is approved under the Regulations as an ISA Manager, if that other group company writes to me and undertakes to carry out all the Manager's duties and obligations in respect of my ISA. If it does so, the original Manager will be released from all those duties and obligations that the new Manager has undertaken to carry out. Any delegation or assignment of its duties is conditional on the Manager satisfying itself that any delegate or new Manager is competent to carry out those functions and duties delegated.
9. I undertake not to subscribe to any other Individual Savings Account in a tax year in which I subscribe to my Account except as may be permitted by the Individual Savings Account Regulations 2009, as amended, in force from time to time.
10. I agree that I will notify the Manager of any change in the information provided by me in this application form and in particular of any change in my circumstances as related in the Declaration which I have signed.
11. I understand that the Individual Savings Account Regulations, as may be amended from time to time, will apply to my Account. I understand that the Manager will notify me if my Account has or will become void because of any failure to satisfy the provisions of these Regulations.
12. I understand that the Manager may vary the terms of this Agreement unilaterally on giving me two calendar months' notice, provided the changes do not prevent the Account qualifying as an ISA or breach the rules of a regulatory body. Changes required by alterations to the general law, the Regulations or other regulatory rules will take effect on notice being given to me. The Manager must notify me if, by reason of any failure to satisfy the provisions of the ISA regulations, an ISA has, or will become void.
13. I understand that if the Manager is not able to meet its financial liabilities to me, I will be entitled to compensation under the Financial Services Compensation Scheme established under the Financial Services and Markets Act 2000.
14. Your rights as a unit holder.

The Manager will inform me so that I can attend unit holder meetings, vote and receive, in addition to the Managers short-form annual report and accounts (which I will receive automatically) any other information available to unitholders on request, such as the long-form report and accounts.
15. As per the Financial Services Authority Conduct of Business rule 3.7.3R; Lincoln Unit Trust Managers will treat all customers as retail clients.

