

The Lincoln National Life Insurance Company
PO Box 2340
Fort Wayne IN 46801-2340
Phone 800-4LINCOLN (800 454-6265)

You may use this form to request a transfer/direct rollover to 401(a), 401(k), 403(b), 457(b) governmental and 457(b) non profit markets.

**1. Surrendering
Contract
Information**

*(All fields must be
completed.)*

Participant's Name _____
Participant's SS # _____
Other Carrier's Contract Number _____
Other Carrier's Contract ERISA Yes No
Other Carrier's Contract Contribution Breakdown: Employee %/\$ Employer %/\$
Other Carrier's Name _____
Other Carrier's Address _____
Other Carrier's City, State, ZIP _____
Other Carrier's Phone Number _____

Is this transferring/rolling over from an annuity? (must be completed)
 Yes No

The participant desires to complete a full or partial transfer/rollover from the existing contract.

FROM type of plan:

- 401(k) 401(a) IRA 457(b) governmental Pension
 403(b)(1) annuity contract 457(b) non profit (transfer only)
 403(b)(7) custodial account (**mutual fund**)

1. Employee _____ %/\$ Employer _____ %/\$
2. Is the employee fully vested? Yes No

TO type of plan:

- 403(b)(1) annuity contract 457(b) governmental SEP-IRA
 401(k) 401(a) 457(b) non profit (transfer only)

Go to Section 2 for transfer, skip to Section 3 for rollover (these sections must be completed):

**2. Transfer
Information**

Transfer (Market is not changing and the employer is the same at both carriers.)

Indicate the amounts being **transferred**.

Employee elective deferral \$ _____ Employer matching contribution \$ _____
Employee excess deferral \$ _____ Employer discretionary contribution \$ _____
Employee mandatory deferral \$ _____ Roth \$ _____

**3. Rollover
Eligibility**

*(if you are
withdrawing employer
contributions no IRS
qualifying event is
required, refer to
employer for
eligibility)*

Direct Rollover (Employer has changed and/or Market has changed).

Under IRS regulations, an employee is only eligible to rollover employee contributions and earnings for all of the reasons listed below. Please check all that apply:

- Age 59½ or older
 Death
 Qualified Domestic Relations Order (QDRO)
 In-service distribution of a governmental 457(b) plan of \$5,000 or less
 Severance from employment
 Total and permanent disability
 Withdrawal of pre-1989 grandfathered value from a 403(b) plan
 Roth account in place 5 taxable years

Note: Required minimum distributions, hardship withdrawals, substantially equal periodic payments and excess contributions are not eligible for rollover.

4. Lump Sum Instructions

You want to:

- Transfer/direct rollover the *full* value of this contract. Approximate value of account \$ _____
(Transfer in-kind are not acceptable. Securities should be liquidated, redeemed or sold.)
- Request a *partial* transfer/direct rollover of this contract. Value to be transferred/ rolled over \$ _____
- Transfer/direct rollover via an annuity option. Choose frequency of payments:
 Monthly Quarterly Semi-annually Annually
Indicate duration: _____ months/years.
Lincoln account number _____

5. Agreement and Signatures

The issuer and participant agree as follows:

- You recognize that by implementing this transfer/direct rollover the participant's contract may be subject to the same or more stringent restrictions on distributions.
- The Lincoln National Life Insurance Company (LNL) can only accept checks or money orders.
- The check or money order must be issued directly to the The Lincoln National Life Insurance Company (LNL) in payment of the designated value to be transferred/directly rolled over from this account. LNL hereby accepts the transfer/rollover of assets being requested above. Checks should be mailed to:
The Lincoln National Life Insurance Company
PO Box 2212
1300 South Clinton Street
Fort Wayne IN 46801-2212
- Transfer in-kind are not acceptable. (Securities should be liquidated, redeemed or sold.)
- You verified direct rollovers are allowed with your plan administrator and this amount is permitted to be rolled over.
- You relied on advice received from your tax advisor relating to federal and state income tax questions associated with this transfer/rollover and not upon any information furnished or representations made by LNL, its agents or employees.

Michele Booth

Michele Booth, Second Vice President

Check this box if you want the transfer/direct rollover deposited differently than future investment options.

- I want this transfer/direct rollover to be deposited *differently* than the future investment option already on file. **You must complete the Lincoln Contract Information on page 3 if you checked this box.**

Contract Owner's signature _____ Date _____

Plan Administrator's Signature _____ Date _____
(may be required by other carrier).



Is the Lincoln contract: New Existing

Lincoln Contract # _____

Do not complete this page if you want this transfer/direct rollover to be deposited the same as the future investment option already on file.

6. Lincoln Contract Information

(Complete section only if you want this transfer/rollover to be deposited differently than the future investment option already on file.)

Complete this section for Multi-Fund® contracts only.

Investment funds selection must be in whole percentages and total 100%.

Preservation of Capital

- _____ % Fixed Account
- _____ % LVIP Money Market

Income

- _____ % Delaware VIP Diversified Income
- _____ % Delaware VIP High Yield
- _____ % LVIP Delaware Bond

Growth & Income

- _____ % AllianceBernstein Growth & Income
- _____ % American Funds Growth-Income
- _____ % Delaware VIP REIT
- _____ % Delaware VIP Value
- _____ % LVIP Delaware Managed
- _____ % LVIP FI Equity-Income
- _____ % LVIP UBS Global Asset Allocation

Long Term Growth

- _____ % American Funds Global Growth
- _____ % American Funds Growth
- _____ % American Funds International
- _____ % Delaware VIP Small Cap Value
- _____ % DWS Equity 500 Index VIP
- _____ % Fidelity VIP Contrafund
- _____ % Fidelity VIP Growth
- _____ % LVIP Cohen & Steers Global REIT
- _____ % LVIP Delaware Growth and Income
- _____ % LVIP Delaware Social Awareness
- _____ % LVIP Delaware Special Opportunities
- _____ % LVIP Janus Capital Appreciation
- _____ % LVIP Mondrian International Value
- _____ % MFS VIT Utilities
- _____ % LVIP S&P 500 Index

Maximum Capital Appreciation

- _____ % AllianceBernstein VPS Global Technology
- _____ % LVIP Baron Growth Opportunities
- _____ % Delaware VIP Trend
- _____ % DWS Small Cap Index VIP
- _____ % LVIP Small-Cap Index
- _____ % LVIP T. Rowe Price Structured Mid-Cap Growth
- _____ % Neuberger Berman AMT Mid-Cap Growth

Asset Allocation Fund of Funds

- _____ % LVIP Wilshire 2010 Profile
- _____ % LVIP Wilshire 2020 Profile
- _____ % LVIP Wilshire 2030 Profile
- _____ % LVIP Wilshire 2040 Profile
- _____ % LVIP Wilshire Aggressive Profile
- _____ % LVIP Wilshire Conservative Profile
- _____ % LVIP Wilshire Moderate Profile
- _____ % LVIP Wilshire Moderately Aggressive Profile

Participant's Signature _____ Date _____

Entire form must be sent to the home office.